

# Quasistatic evolution for Cam-Clay plasticity

Gianni Dal Maso, Antonio DeSimone,  
and Francesco Solombrino,  
SISSA, Trieste, Italy

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**Cam-Clay plasticity** is a **phenomenological** model used to describe the inelastic behaviour of **fine grained soils**. It exhibits both **hardening** and **softening**, depending on the **loading conditions**.

The reference configuration is a bounded smooth open set  $\Omega \subset \mathbb{R}^n$ . The variables and constraints of the model are:

- displacement:  $u: [0, +\infty) \times \Omega \rightarrow \mathbb{R}^n$ ,
- strain:  $Eu = \frac{1}{2}(\nabla u + \nabla u^T): [0, +\infty) \times \Omega \rightarrow \mathbb{M}_{sym}^{n \times n}$ ,
- additive decomposition:  $Eu = e + p$ ,
- elastic strain:  $e: [0, +\infty) \times \Omega \rightarrow \mathbb{M}_{sym}^{n \times n}$ ,
- plastic strain:  $p: [0, +\infty) \times \Omega \rightarrow \mathbb{M}_{sym}^{n \times n}$ ,
- stress:  $\sigma = 2\mu e + \lambda \operatorname{tr}(e)I$  ( $\mu, \lambda$  Lamé constants),
- stress constraint:  $\sigma(t, x) \in K(\zeta(t, x))$  for every  $(t, x)$ ,
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The sets  $\partial K(\zeta)$  play the role of the **yield surfaces**. In the typical applications they are **homothetic ellipsoids** in  $\mathbf{M}_{sym}^{n \times n}$  passing through the origin. We assume only  $K(\zeta) = \zeta K(1)$  for every  $\zeta \geq 1$  (**homothety condition**), where  $K(1)$  is a **compact convex** subset of  $\mathbf{M}_{sym}^{n \times n}$  with  $0 \in \text{int} K(1)$  ( $0 \in K(1)$  and  $K(1)$  with **nonempty interior** is enough, with a slightly different proof). This implies

$$1 \leq \zeta_1 \leq \zeta_2 \implies K(\zeta_1) \subset K(\zeta_2).$$

Therefore, if  $\dot{\zeta}(t, x) > 0$ , the set  $K(\zeta(t, x))$  **expands** leading to a **hardening** response. On the contrary, if  $\dot{\zeta}(t, x) < 0$ , the set  $K(\zeta(t, x))$  **shrinks** leading to a **softening** response.



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For simplicity of exposition, **no applied forces**, only **prescribed boundary displacements**  $w(t, x)$  (depending on time). The evolution law is expressed in terms of a new **internal variable**  $z$ , related to  $\zeta$  by  $\zeta = V(z)$ , where  $V: [0, +\infty) \rightarrow [1, +\infty)$  is nondecreasing and Lipschitz. The equations are:

- **kinematic admissibility**

$$Eu(t, x) = e(t, x) + p(t, x) \text{ on } \Omega, \quad u(t, x) = w(t, x) \text{ on } \partial\Omega,$$

- **constitutive equations**

$$\sigma(t, x) = 2\mu e(t, x) + \lambda \operatorname{tr}(e(t, x))I, \quad \zeta(t, x) = V(z(t, x)),$$

- **equilibrium condition**

$$\operatorname{div}_x \sigma(t, x) = 0 \text{ on } \Omega,$$

- **stress constraint**

$$\sigma(t, x) \in K(\zeta(t, x)),$$

- **flow rule**

$$\dot{p}(t, x) \in N_{K(\zeta(t, x))}(\sigma(t, x)) = \text{normal to } K(\zeta(t, x)) \text{ at } \sigma(t, x).$$



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Usually the **evolution law for the internal variable**  $z$  is

$$\dot{z}(t, x) = \text{tr } \sigma(t, x) \text{tr } \dot{\rho}(t, x),$$

and  $\text{tr } \sigma \leq 0$  for every  $\sigma \in K(\zeta)$  (**compressive condition** of soil mechanics), so that the **hardening** or **softening** behaviour is determined only by the **sign** of  $\text{tr } \dot{\rho}(t, x)$ .

We consider here the **nonlocal variant**

$$\dot{z}(t, x) = \varrho \star [(\varrho \star \text{tr } \sigma(t, \cdot)) \text{tr } \dot{\rho}(t, \cdot)](x),$$

where  $\star$  denotes the convolution with respect to the space variable  $x$ , and  $\varrho$  is a smooth **convolution kernel**.

The **convolution** ensures that a very **weak convergence** of  $\sigma$  and  $\dot{\rho}$  implies **strong convergence** of the corresponding  $z$ . It gives a **nonlocal character** to the evolution: the **size of the yield surface** at a point  $x$  is affected by  $\text{tr } \sigma$  and  $\text{tr } \dot{\rho}$  in a **small neighborhood** of  $x$ , which is not physically implausible.



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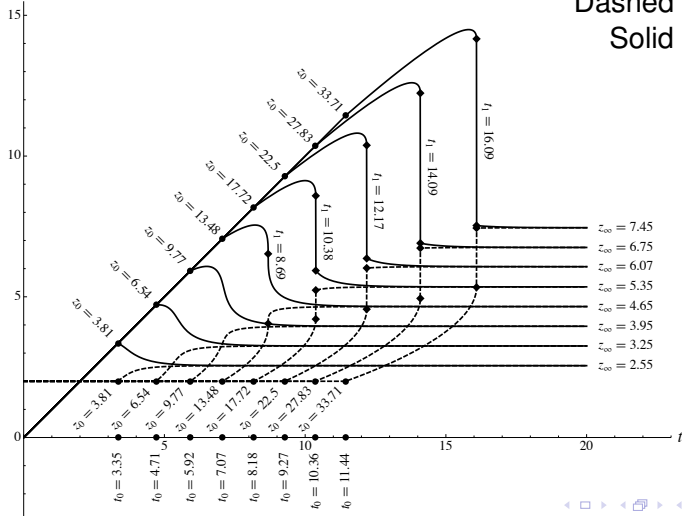


$$Ew(t, x) = -\frac{1}{n}I + t\frac{1}{\sqrt{n}}e_D, \quad \sigma(t) = e(t) = -x(t)\frac{1}{n}I + y(t)\frac{1}{\sqrt{n}}e_D,$$

$$\text{tr } e_D = 0, \quad K(\zeta) = \left\{ \sigma \in \mathbf{M}_{\text{sym}}^{n \times n} : |\sigma + \frac{1}{n}\zeta I| \leq \frac{1}{\sqrt{n}}\zeta \right\}.$$

$x, y$

Dashed lines:  $x(t)$ .  
Solid lines:  $y(t)$ .



We introduce a notion of generalized solution, based on a viscoplastic approximation of Perzyna-type. Given a **viscosity parameter**  $\varepsilon > 0$ , the equations are:

- kinematic admissibility

$$Eu_\varepsilon(t, x) = e_\varepsilon(t, x) + p_\varepsilon(t, x) \text{ on } \Omega, \quad u_\varepsilon(t, x) = w(t, x) \text{ on } \partial\Omega,$$

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- evolution law for the internal variable

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If we fix  $\zeta_\varepsilon$  in a suitable function space, the **existence** of  $u_\varepsilon$ ,  $e_\varepsilon$ ,  $p_\varepsilon$ , and  $\sigma_\varepsilon$  satisfying the **first four equations** and a prescribed **initial condition** can be obtained by adapting a result by Suquet.

To fulfill also the **evolution law for the internal variable** we use the **Schauder fixed point theorem**.

To obtain an estimate of the viscoplastic approximation we introduce the following functions:

Stored elastic energy:  $\mathcal{Q}(e(t)) := \frac{1}{2} \int_{\Omega} \sigma(t, x) : e(t, x) dx$ .

Support function:  $H(\xi, \zeta) := \sup\{\sigma : \xi \mid \sigma \in K(\zeta)\}$ .

Dissipation rate:  $\mathcal{H}(\dot{p}(t), \zeta(t)) := \int_{\Omega} H(\dot{p}(t, x), \zeta(t, x)) dx$ .

Since  $H(\cdot, \zeta)$  is positively homogeneous of degree one, the last definition can be extended to the case where  $\dot{p}(t)$  is a **measure** on  $\bar{\Omega}$ , provided  $\zeta(t)$  is a continuous function.



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Since  $H(\cdot, \zeta)$  is positively homogeneous of degree one, the last definition can be extended to the case where  $\dot{p}(t)$  is a **measure** on  $\bar{\Omega}$ , provided  $\zeta(t)$  is a continuous function.



If we fix  $\zeta_\varepsilon$  in a suitable function space, the **existence** of  $u_\varepsilon$ ,  $e_\varepsilon$ ,  $p_\varepsilon$ , and  $\sigma_\varepsilon$  satisfying the **first four equations** and a prescribed **initial condition** can be obtained by adapting a result by Suquet. To fulfill also the **evolution law for the internal variable** we use the **Schauder fixed point theorem**.

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If the **kinematic admissibility**, the **constitutive equations**, and the **equilibrium conditions** are satisfied, then the **regularized flow rule** is equivalent to

- **modified stress constraint**: for a.e.  $t > 0$  and a.e.  $x \in \Omega$   
 $\sigma_\varepsilon(t, x) - \varepsilon \dot{p}_\varepsilon(t, x) \in K(\zeta_\varepsilon(t, x))$ ,
- **energy-dissipation balance**: for every  $T > 0$

$$\begin{aligned} & Q(e_\varepsilon(T)) + \int_0^T \mathcal{H}(\dot{p}_\varepsilon(t), \zeta_\varepsilon(t)) dt + \\ & + \int_0^T \|\dot{p}_\varepsilon(t)\|_2 d_2(\sigma_\varepsilon(t), K(\zeta_\varepsilon(t))) dt = \\ & = Q(e_\varepsilon(0)) + \int_0^T \langle \sigma_\varepsilon(t), E\dot{w}(t) \rangle dt, \end{aligned}$$

where  $d_2$  denotes the distance in  $L^2$ .

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The **energy-dissipation balance** allows to prove that for every  $T > 0$  there exists a constant  $C_T$  such that

$$\|\sigma_\varepsilon(t)\|_2 \leq C_T \quad \text{and} \quad \int_0^T \|\dot{p}_\varepsilon(t)\|_1 dt \leq C_T$$

for every  $\varepsilon > 0$  and every  $t \in [0, T]$ . We will **rescale** the solution using the function

$$s_\varepsilon^\circ(t) := \int_0^t (\|\dot{p}_\varepsilon(\tau)\|_1 + \|E\dot{w}(\tau)\|_2 + 1) d\tau,$$

and its inverse  $t_\varepsilon^\circ := (s_\varepsilon^\circ)^{-1}$ .

Define the **rescaled functions** by  $w_\varepsilon^\circ(s) := w(t_\varepsilon^\circ(s))$ ,  
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- **kinematic admissibility:**  
 $Eu_\varepsilon^\circ(s) = e_\varepsilon^\circ(s) + p_\varepsilon^\circ(s)$  on  $\Omega$ ,  $u_\varepsilon^\circ(s) = w_\varepsilon^\circ(s)$  on  $\partial\Omega$ ,
- **constitutive equations:**  
 $\sigma_\varepsilon^\circ(s) = 2\mu e_\varepsilon^\circ(s) + \lambda \text{tr}(e_\varepsilon^\circ(s))I$ ,  $\zeta_\varepsilon^\circ(s) = V(z_\varepsilon^\circ(s))$ ,
- **equilibrium condition:**  
 $\text{div } \sigma_\varepsilon^\circ(s) = 0$ ,

- **energy-dissipation balance:** for every  $S > 0$

$$\begin{aligned} & \mathcal{Q}(e_\varepsilon^\circ(S)) + \int_0^S \mathcal{H}(\dot{p}_\varepsilon^\circ(s), \zeta_\varepsilon^\circ(s)) ds + \\ & + \int_0^S \|\dot{p}_\varepsilon^\circ(s)\|_2 d_2(\sigma_\varepsilon^\circ(s), K(\zeta_\varepsilon^\circ(s))) ds = \\ & = \mathcal{Q}(e_\varepsilon^\circ(0)) + \int_0^S \langle \sigma_\varepsilon^\circ(s), E\dot{w}_\varepsilon^\circ(s) \rangle ds, \end{aligned}$$

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As  $s_\varepsilon^\circ(t_2) - s_\varepsilon^\circ(t_1) \geq t_2 - t_1$  for every  $t_1 < t_2$ , we have  $0 < t_\varepsilon^\circ(s_2) - t_\varepsilon^\circ(s_1) \leq s_2 - s_1$  for every  $s_1 < s_2$ . By the **Arzelà-Ascoli Theorem** we may assume that  $t_\varepsilon^\circ$  converges uniformly on compact sets to a **nondecreasing Lipschitz** function  $t^\circ: [0, +\infty) \rightarrow [0, +\infty)$ . Define  $w^\circ(s) := w(t^\circ(s))$ . From the definitions of  $s_\varepsilon^\circ$  and  $t_\varepsilon^\circ$  we obtain easily that  $\|p_\varepsilon^\circ(s_2) - p_\varepsilon^\circ(s_1)\|_1 \leq |s_2 - s_1|$ .

By the **Arzelà-Ascoli Theorem** we may assume that

$$p_\varepsilon^\circ(s) \rightharpoonup p^\circ(s) \quad \text{weakly}^* \text{ in } M_b(\overline{\Omega}; \mathbf{M}_{sym}^{n \times n}) \text{ for every } s,$$

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$$e_\varepsilon^\circ(s) \rightharpoonup e^\circ(s) \quad \text{weakly in } L^2(\Omega; \mathbf{M}_{sym}^{n \times n}) \text{ for a subsequence.}$$

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The limit functions satisfy the following equations:

- **weak kinematic admissibility** (easy):  

$$Eu^\circ(s) = e^\circ(s) + p^\circ(s) \quad \Omega, \quad p^\circ(s) = (w^\circ(s) - u^\circ(s)) \odot \nu \quad \partial\Omega,$$
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- **equilibrium condition** (easy):  

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- **energy-dissipation inequality** (difficult): for every  $S > 0$

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Let  $B_S^\circ := \{s \in [0, S] : \sigma^\circ(s) \in K(\zeta^\circ(s)) \text{ a.e. in } \Omega\}$  and let  $A_S^\circ := [0, S] \setminus B_S^\circ$ . Then the weak kinematic admissibility, the constitutive equations, and the equilibrium condition imply that

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where  $\langle \cdot, \cdot \rangle$  is the scalar product in  $L^2(\Omega; \mathbf{M}_{sym}^{n \times n})$ . The idea of the proof could be explained easily if  $s \mapsto e^\circ(s)$  were absolutely continuous with values in  $L^2(\Omega; \mathbf{M}_{sym}^{n \times n})$  and  $u^\circ(s) = w^\circ(s)$  on  $\partial\Omega$ : it is enough to prove the inequality in differential form

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This is an obvious consequence of the definition of the **support function**  $H$ , since  $\pi_{K(\zeta^\circ(s))}(\sigma^\circ(s)) \in K(\zeta^\circ(s))$ .

The proof in the **general case** is a **nightmare**, and requires **15 pages** of technical lemmas, which must use different techniques in  $A_S^\circ$  and  $B_S^\circ$ .



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The limits  $u^\circ(s)$ ,  $e^\circ(s)$ ,  $p^\circ(s)$ ,  $z^\circ(s)$ ,  $\sigma^\circ(s)$ ,  $\zeta^\circ(s)$ , and  $t^\circ(s)$  satisfy the following conditions, where  $w^\circ(s) := w(t^\circ(s))$ :

- weak kinematic admissibility:

$$Eu^\circ(s) = e^\circ(s) + p^\circ(s) \quad \Omega, \quad p^\circ(s) = (w^\circ(s) - u^\circ(s)) \odot \nu \quad \partial\Omega,$$

- constitutive equations:

$$\sigma^\circ(s) = 2\mu e^\circ(s) + \lambda \operatorname{tr}(e^\circ(s))I, \quad \zeta^\circ(s) = V(z^\circ(s)),$$

- equilibrium condition:  $\operatorname{div} \sigma^\circ(s) = 0$ ,

- energy-dissipation balance: for every  $S > 0$

$$\begin{aligned} & \mathcal{Q}(e^\circ(S)) + \int_0^S \mathcal{H}(\dot{p}^\circ(s), \zeta^\circ(s)) ds + \\ & + \int_0^S \|\dot{p}^\circ(s)\|_2 d_2(\sigma^\circ(s), K(\zeta^\circ(s))) ds = \\ & = \mathcal{Q}(e^\circ(0)) + \int_0^S \langle \sigma^\circ(s), E\dot{w}^\circ(s) \rangle ds. \end{aligned}$$

- evolution law for the internal variable:

$$\dot{z}^\circ(s) = \varrho \star [(\varrho \star \operatorname{tr} \sigma^\circ(s)) \operatorname{tr} \dot{p}^\circ(s)].$$



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Moreover they satisfy the following conditions:

- **partial stress constraint**  $\sigma^\circ(s, x) \in K(\zeta^\circ(s, x))$  for a.e.  $x \in \Omega$ , unless  $t^\circ$  is constant near  $s$ .
- **extended flow rule**:  $\dot{\rho}^\circ(s) \in L^2(\Omega; \mathbf{M}_{sym}^{n \times n})$  for a.e.  $s$  where the stress constraint is not satisfied, and

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where  $N_{K(\zeta)}^{\text{ext}}(\sigma) := \{\lambda(\sigma - \pi_{K(\zeta)}(\sigma)) : \lambda \geq 0\}$  for  $\sigma \notin K(\zeta)$ ; this is the natural extension of the flow rule to these intervals.

It follows from the former condition that the “original” time  $t = t^\circ(s)$  is constant on each  $s$ -interval where the stress constraint is not satisfied. The evolution in these intervals takes place instantaneously in the “original” time.



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Using the **energy-dissipation balance** we can prove that for every  $S > 0$  there exists  $L_S < +\infty$  such that

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It is then easy to find the natural generalization of the flow rule when  $\dot{\rho}^\circ(s) \in M_b(\bar{\Omega}; \mathbf{M}_{sym}^{n \times n}) \setminus L^1(\Omega; \mathbf{M}_{sym}^{n \times n})$ .



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Using the **energy-dissipation balance** we can prove that for every  $S > 0$  there exists  $L_S < +\infty$  such that

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for every  $0 \leq s_1 < s_2 \leq S$ , with  $\sigma^\circ(s_1, x) \in K(\zeta^\circ(s_1, x))$  for a.e.  $x \in \Omega$ . This allows us to show that the derivative  $\dot{\sigma}^\circ(s)$  exists in  $L^2(\Omega; \mathbf{M}_{sym}^{n \times n})$  for a.e.  $s$  with  $\sigma^\circ(s, x) \in K(\zeta^\circ(s, x))$  for a.e.  $x \in \Omega$ , and that for these values of  $s$  we have  $\langle \sigma^\circ(s), \dot{\rho}^\circ(s) \rangle = \mathcal{H}(\dot{\rho}^\circ(s), \zeta^\circ(s))$ . If  $\dot{\rho}^\circ(s) \in L^1(\Omega; \mathbf{M}_{sym}^{n \times n})$ , this equality implies the flow rule

$$\begin{aligned} \dot{\rho}^\circ(s, x) &\in N_{K(\zeta^\circ(s, x))}(\sigma^\circ(s, x)) \text{ for a.e. } s \text{ such that} \\ \sigma^\circ(s, x) &\in K(\zeta^\circ(s, x)) \text{ for a.e. } x \in \Omega. \end{aligned}$$

It is then easy to find the natural generalization of the flow rule when  $\dot{\rho}^\circ(s) \in M_b(\bar{\Omega}; \mathbf{M}_{sym}^{n \times n}) \setminus L^1(\Omega; \mathbf{M}_{sym}^{n \times n})$ .



In the **rescaled variable**  $s$  we observe **two different regimes**.

- **Slow dynamics**: in  $B := \{s : \sigma^\circ(s) \in K(\zeta^\circ(s)) \text{ a.e. in } \Omega\}$ , which corresponds to a **continuous evolution** in the original variable  $t = t^\circ(s)$ , the evolution law for  $p^\circ$  and  $z^\circ$  is

$$\begin{aligned} \dot{p}^\circ(s) &\in N_{K(\zeta^\circ(s))}(\sigma^\circ(s)), \\ \dot{z}^\circ(s) &= \varrho \star [(\varrho \star \text{tr } \sigma^\circ(s)) \text{tr } \dot{p}^\circ(s)]. \end{aligned}$$

- **Fast dynamics**: in the set  $A := [0, +\infty) \setminus B$ , which corresponds to **jump times** in the original variable  $t = t^\circ(s)$ , the evolution law for  $p^\circ$  and  $z^\circ$ , which governs the **transfer during the jump**, is given by

$$\begin{aligned} \dot{p}^\circ(s) &\in N_{K(\zeta^\circ(s))}^{\text{ext}}(\sigma^\circ(s)), \text{ i.e.,} \\ \dot{p}^\circ(s) &= \lambda(s)(\sigma^\circ(s) - \pi_{K(\zeta^\circ(s))}(\sigma^\circ(s))), \text{ with } \lambda(s) \geq 0, \\ \dot{z}^\circ(s) &= \varrho \star [(\varrho \star \text{tr } \sigma^\circ(s)) \text{tr } \dot{p}^\circ(s)]. \end{aligned}$$

To find just the trajectories, we can take  $\lambda(s) = 1$ .



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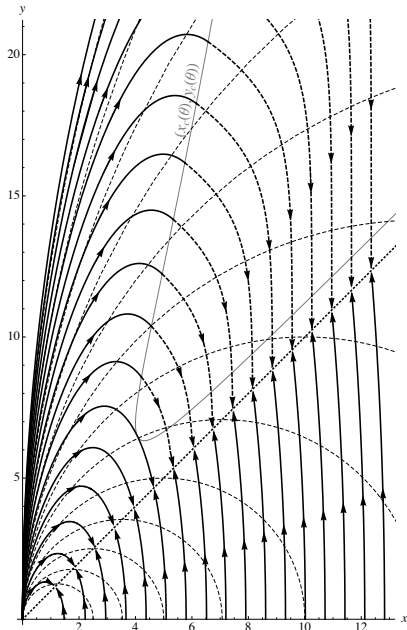
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$$Ew(t, x) = -\frac{1}{n}I + t\frac{1}{\sqrt{n}}e_D$$

$$e^\circ(s) = -x(s)\frac{1}{n}I + y(s)\frac{1}{\sqrt{n}}e_D$$

$$\sigma^\circ(s) = e^\circ(s), \text{tr } e_D = 0$$

$$K(\zeta) = \{|\sigma + \frac{1}{n}\zeta| \leq \frac{1}{\sqrt{n}}\zeta\}$$

Trajectories  $(x(s), y(s))$

Solid lines: **slow dynamics**

Dashed lines: **fast dynamics**

Dotted line: **equilibrium points**